

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 45822/SAH/C715
Inventor(s) : Mark Stiehl, Michael L. Tartaglia, Carl Embry, Michael Dudek, Timothy
Bingaman, Allen Tracy, Mark Eginton, Dale Isaacson
Title : VCSEL ARRAY OPTICAL SUBASSEMBLY MODULE WITH
ALIGNMENT MECHANISM
Express Mail Label No. : EL904750313US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: January 11, 2002

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

_____ This application is a _____ of patent application No. _____.

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),
to provisional Application No. 60/261,223.

3. **APPLICATION COMPRISED OF**

Specification

26 Specification, claims and Abstract (total pages)

Drawings

15 Sheets of formal drawing(s) (FIGS. 1 to 10)

Declaration and Power of Attorney

_____ Newly executed

☒ Unexecuted declaration

_____ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. _____ **Microfiche Computer Program** (Appendix)

5. _____ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

_____ Computer Readable Copy

_____ Paper Copy (identical to computer copy)

_____ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

☒ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL
ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 45822/SAH/C715

7. ALSO ENCLOSED ARE

- ☐ Preliminary Amendment
 - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
 - ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☒ Other **Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)**

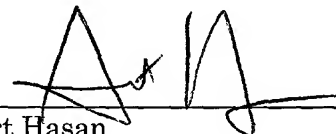
8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Art Hasan
Reg. No. 41,057
626/795-9900

SAH/jhg

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: January 11, 2002

Docket No. : 45822/SAH/C715
Inventor(s) : Mark Stiehl, Michael L. Tartaglia, Carl Embry, Michael Dudek, Timothy
Bingaman, Allen Tracy, Mark Eginton, Dale Isaacson
Title : VCSEL ARRAY OPTICAL SUBASSEMBLY MODULE WITH
ALIGNMENT MECHANISM Duplicate

FEE DETERMINATION

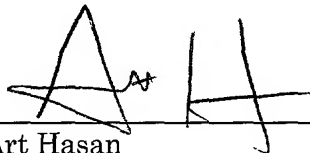
CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	20 - 20	0	x \$9.00	x \$18.00	\$0.00
INDEPENDENT CLAIMS	4 - 3	1	x \$42.00	1 x \$84.00	\$84.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	\$0.00
BASIC FEE			\$370.00	\$740.00	\$370.00
TOTAL FILING FEE					\$454.00
List Independent Claims: 1, 7, 9, 14					

METHOD OF PAYMENT

- ☒ Payment Enclosed: Check for \$454.00
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
Art Hasan
Reg. No. 41,057
626/795-9900

SAH/jhg

JHG PAS407718 1-*1/11/02 2:21 PM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL904750313US

Applicant : Mark Stiehl, et al.
Filed : January 11, 2002
Title : VCSEL ARRAY OPTICAL SUBASSEMBLY MODULE
WITH ALIGNMENT MECHANISM
Docket No. : 45822/SAH/C715

REQUEST AND CERTIFICATION
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Assistant Commissioner for Patents
Washington, D.C. 20231

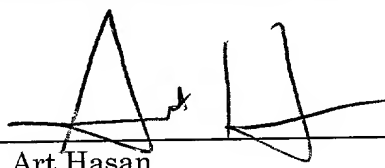
Post Office Box 7068
Pasadena, CA 91109-7068
January 11, 2002

Commissioner:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
Art Hasan
Reg. No. 41,057
626/795-9900

SAH/jhg
JHG PAS407689 1.-1/11/02 1:57 PM